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Message Text

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ACTION EUR-25

INFO OCT-01 ARA-16 ISO-00 SEC-03 AID-20 CIAE-00 EB-11

FRB-03 INR-11 NSAE-00 RSC-01 TRSE-00 XMB-07 OPIC-12

SP-03 CIEP-03 LAB-06 SIL-01 OMB-01 STR-08 FTC-01

DRC-01 /134 W
---------- 128539
R 201541Z AUG 74
FM AMEMBASSY THE HAGUE
TO SECSTATE WASHDC 4169
USDOC WASHDC
AMCONSUL CURACAO

LIMITED OFFICIAL USE THE HAGUE 4081

E.O. 11652: N/A
TAGS: EFIN, NL, US
SUBJ: CCP; REVERSE PORTFOLIO INVESTMENT: ROSENTHAL & CO.,
CHICAGO COMMODITIES BROKERAGE FIRM

1. CHICAGO COMMODITIES BROKERAGE FIRM ROSENTHAL & CO. IS MARKETING A NEW SERVICE FOR "INVESTORS" (SPECULATORS) IN COMMODITIES FUTURES: INVESTORS PUT UP MINIMUM $15,000 FOR PORTFOLIO WHICH ROSENTHAL WILL MANAGE. AIM IS TO "EARN FAVORABLE RATE OF RETURN SUBJECT TO THE CONSTRAINT OF MINIMIZING RISK" BY INCLUDING UP TO 15 COMMODITIES IN THE PORTFOLIO, THEREBY SPREADING RISK, AND TAKING LONG OR SHORT POSITION IN EACH COMMODITY AT ROSENTHAL'S DISCRETION.

2. ROSENTHAL IS PLANNING EVENT IN NETHS FOR NEXT MONTH TO INTRODUCE ABOVE INVESTMENT MEDIUM TO NETHS INVESTORS. LOCAL MARKETING CONSULTANT TO THE COMPANY HAS REQUESTED EMBASSY SUPPORT AND PARTICIPATION IN FIRM'S PROMOTION.

3. WHILE WE ARE INCLINED TO BE OF HELP, PARTICULARLY IN VIEW OF FACT THAT ROSENTHAL'S PROMOTION WOULD IN PRINCIPLE BE
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CONSISTENT WITH OUR CCP CAMPAIGN ON REVERSE PORTFOLIO

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INVESTMENT, WE HESITATE TO OFFER OUR BACKING WITHOUT ADDITIONAL INSIGHTS INTO THE FIRM'S OPERATIONS AND ITS NEW INVESTMENT MEDIUM.

4. SPECIFICALLY, WE WOULD LIKE TO KNOW WHETHER ANY ANTECEDENTS ARE KNOWN WITH RESPECT TO COMPANY OR ITS PRINCIPALS THAT WOULD CAST ANY DOUBT ON THEIR INTEGRITY OR RELIABILITY AND WHETHER THE MANAGED COMMODITY PORTFOLIO INVESTMENT PROGRAM THEY ARE SEEKING TO INTRODUCE HERE HAS BEEN CLEARED BY RELEVANT AUTHORITIES IN US FOR OFFERING TO US PUBLIC. FYI. ROSENTHAL HAS OFFICE IN AMSTERDAM WHICH IS BRANCH OF ROSENTHAL & CO. INTERNATIONAL N. V. NETH ANTILLES. END FYI.

5. ANY ADVICE OR INSIGHTS ADDRESSEES CAN PROVIDE WOULD BE SINCERELY APPRECIATED.
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Message Attributes

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Draft Date: 20 AUG 1974
Decaption Date: 01 JAN 1960
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Disposition Action: RELEASED
Disposition Approved on Date:
Disposition Authority: boyleja
Disposition Case Number: n/a
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Disposition Reason:
Disposition Remarks:
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Subject: CCP; REVERSE PORTFOLIO INVESTMENT: ROSENTHAL & CO., CHICAGO COMMODITIES BROKERAGE FIRM
TAGS: EFIN, NL, US, ROSENTHAL & CO
To: STATE CURACAO
Type: TE
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